

1054 U.S. PTO
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PATENT
0505-4002

Express Mail Label No.
EK677221415US

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10/08/01 09:52 AM PRO
10/03/01 09:52 AM PRO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Christopher Keith
105 Hudson St. Apt. 5S, New York, NY 10013

For: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

Enclosed are:

[X] 122 pages of specification, 1 page of Abstract, 9 pages of claims 1-108
[X] 91 sheets of drawings [X] formal [] informal
[X] 1 pages of Declaration and Power of Attorney
[] unsigned [X] newly executed [] copy from prior application
[] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)
[] Claim for Priority
[] Certified copy of Priority Documents [] English translation
[] Request for Non-publication and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
[] Information Disclosure Statement [] copy of ___ cited references
[] copy of PTO-1449 filed in parent application serial no. _____
[] Preliminary Amendment
[X] Return receipt postcard
[X] Assignment papers (assignment cover sheet and assignment documents)
[X] a credit card authorization in the amount of \$40.00 for recording the Assignment
[] Assignment papers filed in parent application serial no. _____
[] Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)

[X] This is a [] continuation [] divisional [X] continuation-in-part (CIP)
of prior application serial no. 09/546,031
[] Cancel in this application original claims ___ of the parent application before calculating the
filing fee (at least one original independent claim must be retained for filing purposes).
[] A Preliminary Amendment is enclosed, adding claims numbered consecutively after the highest
numbered original claim in the prior application.

[X] The status of the parent application is as follows:
[] A Petition For Extension of Time and proper Fee has been or is being filed in the parent
application to extend the term for action in the parent application until _____.
[] A copy of the Petition For Extension of Time in the co-pending parent application is attached.
[X] No Petition For Extension of Time is necessary in the co-pending parent application.

[] Please abandon the parent application at a time while the parent application is pending or at a time
when the Petition For Extension of Time in that application is granted and while this application is
pending or has been granted a filing date, so as to make the application co-pending.
[] Transfer the drawings from the parent application to this application.

[] Amend the specification by inserting before the first line the sentence:
This is a [] continuation [] divisional [] continuation-in-part (CIP) of co-pending application
serial no. _____ filed _____.

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CALCULATION OF APPLICATION FEE (For a Small Entity)

	No. Filed	No. Included in Basic Fee	No. Extra	Rate per Claim	TOTAL
Basic Fee					355
Total Claims	108	20	88	9	792
Independent Claims	2	3		40	
Multiple Dependent Claims				135	
TOTAL					1147

Small Entity Status is claimed. Reduced fees under 37 C.F.R. § 1.9(f) are paid herewith.

A check in the amount of \$_____ in payment of the application filing fee is attached.

A Credit Card Payment Form authorizing payment of \$1147.00 is attached.

Respectfully submitted,

Date: March 8, 2001

Brenda Pomerance
Brenda Pomerance
Reg. No. 36,894

CORRESPONDENCE ADDRESS:

Brenda Pomerance
260 West 52 St. Apt. 27B
New York, New York 10019
(212) 245-3940

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Christopher KEITH

Filed : Herewith

Group Art Unit:

Examiner :

For : PLATFORM FOR MARKET PROGRAMS AND TRADING
PROGRAMS

EXPRESS MAIL CERTIFICATE

Express Mail Label No: EK677221415US

Date of Deposit: March 8, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Application including 122 pg. specification, 1 pg. Abstract, 9 pg. claims 1-108
2. 91 sheets drawings, formal
3. Declaration, signed
4. Assignment, signed
5. Assignment Recordation Cover Sheet
6. Application Fee Transmittal
7. Credit card authorization form for \$ 1147. (application filing)
8. Credit card authorization form for \$40.00 (assignment recordation)
9. Return receipt postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Brenda Pomerance
Brenda Pomerance

CORRESPONDENCE ADDRESS:

Brenda Pomerance
260 West 52 St. Apt. 27B
New York, NY 10019
212 245-3940